

Office of the Illinois State Treasurer
Michael W. Frerichs

Request for Proposals
PCI DSS and PA DSS Audit and Compliance Services
370-300-17-003

Addendum 1
January 11, 2017

Below are the questions received by the Office of the Illinois State Treasurer (“Treasurer”) and the Treasurer’s responses. Any capitalized terms that are not defined herein have the meaning set forth in the Request for Proposals PCI DSS and PA DSS Audit and Compliance Services (370-300-17-003) (“RFP”) published by the Treasurer on December 16, 2016.

- 1. With regard to the subject solicitation, would you consider proposals from a prime-sub team where one of the team members (sub) "is certified to to [sic] provide PCI DSS ASV services , [sic] PCI DSS QSA services, PA DSS QSA services, P2PE encryption assessment services, and/or QIR Implementation services, as applicable"?** [sic]

The Treasurer would consider proposals that include a subcontractor that provides one or more of the required services. However, as stated in the RFP, the duties to be performed by any subcontractor must be explicitly disclosed. See Section VI of the RFP, including Section VI.M., for more details regarding subcontractor responsibilities.

- 2. With regards [sic] to the PCI-DSS RFP, before I submit questions, I was curious if we would be disqualified for not being able to deliver the PA-DSS services that were listed?**[sic]

The Agreement will not be awarded to any Respondent that is unable to provide all of the services listed in Sections III.A and III.B of the RFP, which include certain PA-DSS services. However, as stated in response to Question 1, use of sub-contractors to provide all requested services is acceptable.

- 3. Questions in regards [sic] to non-compete – If selected the non-compete clause of not entering into any new contracts with any state/local government in Illinois, is only in regards [sic] to the services outlined in this proposal/ contract? Is the non-compete limited to only the entities enrolled in E-pay [sic]?**

A state agency does not have to participate in the Treasurer’s electronic payment processing program (“E-pay”) in order to contract for the Services. The non-compete provision in Section VII.K of the RFP applies to (1) the Services provided pursuant to the resulting Agreement and (2) Contractors who provide the Services to State of Illinois state agencies regardless of such agencies’ status as E-pay participants.

- 4. Questions in regards to [sic] references - We take great caution in protecting the confidentiality and integrity of our client's information and privacy. Reference name and organizations can be provided 1st [sic] round and contact info [sic] can be provided upon consideration of the next round, or upon completion of a Mutual [sic] NDA [sic]. Is this something the state is willing to compromise on or will failure to include references and contact information solely disqualify the response to the proposal in the 1st round?**

A Respondent would not be disqualified solely on the basis of its failure to provide in its Proposal the references required under Section V.B.3 of the RFP, so long as the organization name, website (if any), and scope of work is provided. However, all required contact information in Section V.B.3 must be provided upon subsequent request from the Treasurer.

- 5. Questions on cost proposal – Will any other cost format for network application penetration testing services be accepted? Such as on a tier level basis and IP target range / # of applications? Or strictly limited to price per billable hour on a set range of IPs or # of applications?**

Only pricing per billable hour will be accepted; however, as stated in Section V.C.1 of the RFP, any tiered pricing must also be included in any price per billable hour range.