

ATTESTATION FORM

Claiming Status as a Minority, Woman, Veteran, or Disabled-Owned or Managed Investment Firm

I attest that one of the following two conditions applies, thus enabling my firm to claim status as a minority, woman, veteran, or disabled (MWVD)-owned or managed firm for the purposes of investment transactions with the Illinois State Treasurer's Office (check one):

MWVD Ownership – Greater than 50% of the ownership interest of my firm is held by minority, female, veteran, and/or disabled persons.*

MWVD Management – Greater than 75% of my firm's senior managers are minority, female, veteran, and/or disabled persons.*

I agree to furnish, upon the request of the Treasurer's Office, documentation to verify that the applicable claimed status is accurate and true. For firms claiming status as a MWVD-owned or managed firm, random inquiries are conducted by the Treasurer's Office through which said firms may be required to furnish proof/documentation (i.e. birth certificate, military discharge, disability letter, etc.) attesting to the veracity of the claimed status.

I agree to notify the Treasurer's Office in writing should a change in ownership or management occur that would render my firm ineligible to claim status as a MWVD-owned or managed entity for the purposes of investment transactions with the Illinois State Treasurer's Office

I understand that any material misrepresentation of information will be grounds for (1) terminating any existing contract, bid, proposal or relationship, (2) suspending any future contracts, bids, proposals or relationships for a period up to 10 years, and (3) initiating action under federal and/or state laws concerning false statements.

Signed: _____

Position: _____

Firm: _____

Date: _____

Subscribed and sworn to before me this

_____ day of _____, _____.

Notary Public

* The definitions of "minority," "female," "veteran," and "disabled person" are located in the Business Enterprise for Minorities, Females and Persons with Disabilities Act (30 ILCS 575) and Sections 45-57 ("Veterans") of the Illinois Procurement Code (30 ILCS 500/45-57).