

THURSDAY, SEPTEMBER 21, 2023

BMO Tower, 320 S Canal St, 17th Floor, Chicago, IL 60606

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TREASURER FRERICHS'

**2023**

DIVERSITY IN  
INVESTMENTS  
FORUM

# EVENT AGENDA

<b>11:00AM-11:15AM</b>	WELCOME & EVENT OVERVIEW
<b>11:15AM-11:40AM</b>	FIRESIDE CHAT - CHAD TREDWAY
<b>11:40AM-12:20PM</b>	PANEL - "BUSINESS DIVERSITY ACROSS THE SPECTRUM OF CAPITAL RAISING AND FINANCING"
<b>12:20PM-1:00PM</b>	LUNCH AND OFFICE OVERVIEW PER INVESTMENT POOL
<b>1:00PM-1:40PM</b>	PANEL - "HOW TO FORM MINORITY-MAJORITY PARTNERSHIPS AND GET ON THE PLAT FORM"
<b>1:40PM-2:10PM</b>	TABLE TOP DISCUSSIONS
<b>2:10PM-2:25PM</b>	BREAK
<b>2:25PM-3:00PM</b>	FIRESIDE CHAT - SUZANNE YOON
<b>3:00PM-3:30PM</b>	TABLE TOP DISCUSSIONS
<b>3:30PM-4:15PM</b>	PANEL - "DIVERSITY IN INVESTMENTS CONFERENCES WILL BE HELD UNTIL..."
<b>4:15PM-4:30PM</b>	CLOSING REMARKS & CALL TO ACTION
<b>4:30PM-BEYOND</b>	PARTNERSHIP BUILDING RECEPTION HOSTED BY CABRERA CAPITAL MARKETS

## **11:40AM-12:20PM PANEL**

### ***"BUSINESS DIVERSITY ACROSS THE SPECTRUM OF CAPITAL RAISING AND FINANCING"***

**PANEL DESCRIPTION:** Despite broad market incentives and commitments to utilize or allocate capital to diverse-led firms within the financial services industry, many qualified diverse organizations have still found it increasingly difficult to raise or finance capital. Professionals and firms are often systematically excluded or not provided the opportunity to participate in next steps related to financing or raising capital. This panel aims to discuss business diversity across the spectrum of capital raising and financing to discuss effective tools and insights into successfully navigating this process.

**MODERATOR:** David Hernandez, Managing Director @ Marquette Associates

#### **PANELISTS INCLUDE:**

- Jason Frank, Managing Director @ Cabrera Capital
- Sidney Dillard, Partner & Head of Corporate Investment Banking @ Loop Capital
- Duane Jackson, Founder & Managing Partner @ Author Capital
- Nicholas Antoine, Co-Founder & Managing Partner @ Red Arts Capital

## **1:00PM-1:40PM PANEL**

### ***"HOW TO FORM MINORITY-MAJORITY PARTNERSHIPS AND GET ON THE PLATFORM"***

**PANEL DESCRIPTION:** In the financial services industry, there are various obstacles for minority-owned firms to gain access to and grow from institutional capital. As such, emerging managers or diverse-owned firms are often limited in their capacity for growth. Therefore, there is a need for majority owned firms and institutional investors to partner with minority-owned businesses to help them grow to scale and realize additional profitable business opportunities. The panelists will discuss the importance of these types of relationships, how to build them, and how to make them mutually beneficial.

**MODERATOR:** Siddarth Sudhir, Managing Director @ Rock Creek Group

#### **PANELISTS INCLUDE:**

- La-Yona Rauls, Managing Director & Head of Corporate Cash Strategies @ Mischler
- Winston Blake, President @ Attucks Management
- Gina Sanchez, Independent Board Member @ LACERA

### **3:30PM-4:15PM PANEL**

*"DIVERSITY IN INVESTMENTS CONFERENCES WILL BE HELD UNTIL..."*

**PANEL DESCRIPTION:** It's well-documented that women, people of color, and those in the LGBTQIA+ community are underrepresented in the financial services industry. While over the last decade, significant progress has been made in the industry by advancing diversity, equity, and inclusion initiatives throughout internal-firm policies and external capital allocation, there is substantial runway to continue to improve. This panel of industry leaders will explore past obstacles and successes, discuss the present environment related to diversity in investments, and give their opinions of future diversity discussions/considerations for the financial services industry.

**MODERATOR:** Aiyu Nicholson, Partner @ StepStone

**PANELISTS INCLUDE:**

- Erica Seremeta, Global Director DEI Client Engagement @ Blackrock
- Danan Kirby, Client Portfolio Manager @ Ariel
- Douglas Jackman, President & Portfolio Manager @ Thomas White/RAM
- Susan Edwards, Operating Director, DEI & Impact @ Vistria