



Michael W. Frerichs
ILLINOIS STATE TREASURER

CAPABILITIES QUESTIONNAIRE

For Illinois-Based Firms Seeking to do Business with the Treasurer's Office

PURPOSE

The Treasurer's Office makes a concerted effort to do business with qualified investment firms that are based or have a significant economic presence in the State of Illinois.¹ The Treasurer's Office seeks a variety of products and services related to:

- [Broker/Dealer Services](#) – Treasuries, agencies, corporates, municipals, commercial paper, etc.
- [Investment Management Services](#) – Public equity, private equity, fixed income, cash, etc.
- [Banking Services](#) – Custody and safekeeping, ACH transactions, cash and check processing etc.
- [Other Investment Services](#) – Consulting, linked/time deposits, bond arbitrage, etc.

By filling out this Capabilities Questionnaire, you can provide the Treasurer's staff with a basis to be proactive in identifying opportunities for business transactions or service provision.

WHERE TO SUBMIT

Send completed questionnaires to IllinoisFirms@illinoistreasurer.gov or call (312) 814-1245.

PLEASE ANSWER THE FOLLOWING QUESTIONS:

Firm Name _____

Corporate Headquarters Address _____

Point of Contact Name _____ *Title* _____

Phone _____ *Email* _____

¹ The Treasurer's Office designates firms as "Illinois-Based" depending on their financial significance in the state, which is measured in terms of the location of the firm's corporate office, the number of full-time employees based in Illinois, and the extent that the firm has an economic presence in the state.

SERVICES AND PRODUCTS

What investment services/products does your firm provide?

What banking or financial services/products does your firm provide?

Please summarize the experience and qualifications of staff.

If your firm publishes a general information pamphlet setting forth the history, organizational structure and services/products of your business, please send as an attachment.

ILLINOIS PRESENCE

Total number of full-time employees located in Illinois offices: _____

Total number of full-time employees corporate-wide: _____

If your firm has additional information to share on your firm's economic presence in Illinois, please include it here or provide attachments.